16 APR 2001
09/762923PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DAWOOD PARKER

ART UNIT:

SERIAL NO.: 09/762,923

EXAMINER:

FILED: CONCURRENTLY HEREWITH

P.C.T. APPLICATION NO.: PCT/GB99/02510

EARLIEST PRIORITY CLAIMED: AUGUST 13, 1998

P.C.T. INTERNATIONAL FILING DATE: JULY 30, 1999

U.S. NATIONAL FEE PAID: FEBRUARY 12, 2001

TITLE: OPTICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
United States Patent and Trademark Office
Washington, D. C. 20231

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to
call the attention of the Examiner to the following
references:

| <u>U.S. Patent No.</u> | <u>Inventor</u> | <u>Issue Date</u> |
|------------------------|-----------------|-------------------|
| 3,638,640 | Robert F. Shaw | February 1, 1972 |

"Express Mail" mailing label number EF 100061435 US
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I hereby certify that this paper is being deposited with the
U.S. Postal Service "Express Mail - Post Office to Addressee"
service under 37 C.F.R. §1.10 on the date indicated above and
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Patent and Trademark Office, Washington, D. C. 20231.

Edwin D. Schindler
Edwin D. Schindler, Reg. No. 31,459

April 16, 2001
Date

| <u>Document No.</u> | <u>Country/P.C.T.</u> | <u>Published/Issued</u> |
|---------------------|-------------------------|-------------------------|
| 0,286,142 | European Patent Off. | October 12, 1988 |
| WO 91/01678 | PCT/Int'l Bureau (WIPO) | February 21, 1991 |
| WO 94/03102 | PCT/Int'l Bureau (WIPO) | February 17, 1994 |
| 0,586,025 | European Patent Off. | March 9, 1994 |

A copy of each of the references is submitted herewith along with Form PTO-1449.

The enclosed references were cited during the P.C.T. international phase of Applicant's corresponding international application, No. PCT/GB99/02510, filed under the provisions of the Patent Cooperation Treaty, or otherwise known to Applicant.

The accompanying references relate generally to oximeters and other optical devices, generally known to the prior art.

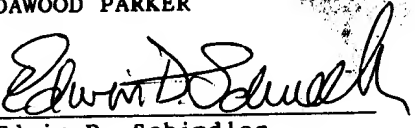
These citations do not constitute an admission by Applicant that the references are relevant or material to the patentability of the claims.

Inasmuch as the present Information Disclosure Statement

is being filed prior to issuance of a first Office Action on the merits, no fee for its submission is submitted to be due.

Respectfully submitted,

DAWOOD PARKER

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